

COPY OF PAPERS  
ORIGINALLY FILED

*Receipt*

PATENT



Practitioner's Docket No. 56597 (71987)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: H. Chiu et al.  
Serial No.: 10/002,840                      Group No.: 2171  
Filed: October 23, 2001                      Examiner: Not Yet Assigned  
For: METHOD AND SYSTEM FOR READING AUTHORIZED DATA

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

[X] incorrectly entered

*and/or*

[ ] omitted.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

X      deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant  
Commissioner for Patents, Washington, D.C.  
20231.

FACSIMILE

☐      transmitted by facsimile to the Patent and  
Trademark Office.

*Susan M Dillon*

Signature

Date: 1/11/02

Susan M. Dillon

(type or print name of person certifying)

(Request for Corrected Filing Receipt—page 1 of 2)

**Error in**

**Correct data**

- |   |   |
|---|---|
| 1. <input type="checkbox"/> Applicant's name        | 1.  |
| 2. <input type="checkbox"/> Applicant's address     | 2.  |
| 3. <input type="checkbox"/> Title                   | 3.  |
| 4. <input checked="" type="checkbox"/> Filing Date  | 4. October 23, 2001 (a copy of the postcard receipt, express mail receipt and first page of new application transmittal are enclosed) |
| 5. <input type="checkbox"/> Serial Number           | 5.  |
| 6. <input type="checkbox"/> Foreign/PCT Application | 6.  |
| 7. <input type="checkbox"/> Other                   | 7.  |

3. *(complete the following applicable item)*

- A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

**OR**

- B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☐ Charge Account 04-1105 the sum of \$25.00.

Reg. No. 33,860

Tel. No. (617) 439-4444

Customer No. 21874

  
SIGNATURE OF PRACTITIONER

Peter F. Corless

*(type or print name of practitioner)*

EDWARDS & ANGELL, LLP

P.O. Box 9169

P.O. Address

Boston, Massachusetts 02209

#118157

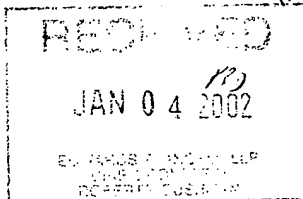
(Request for Corrected Filing Receipt—page 2 of 2)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/002,840	11/23/2001	2171	740	56597 (71987)	3	12	2

MR. PETER F. CORLESS  
EDWARDS & ANGELL, LLP  
101 FEDERAL STREET  
BOSTON, MA 02110

CONFIRMATION NO. 3638

## FILING RECEIPT



\*OC000000007240593\*

Date Mailed: 12/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hung-Liang Chiu, Taipei, TAIWAN;  
Win-Chee Yu, Taipei, TAIWAN;  
Ming-Hsiao Hsieh, Taipei, TAIWAN;

## Assignment For Published Patent Application

Inventec Corporation, Taiwan, TAIWAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/26/2001

Projected Publication Date: 05/29/2003

Non-Publication Request: No

Early Publication Request: No

## Title

Method and system for reading authorized data

Preliminary Class

707

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

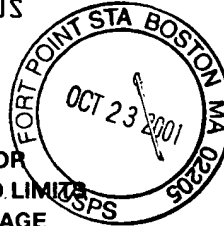
COPY OF PAPER  
ORIGINALLY FILED



# POST OFFICE TO ADDRESSEE



EL 895419809 US



Customer Copy  
Label 11-F August 2000

SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE

## ORIGIN (POSTAL USE ONLY)

PO ZIP Code <b>02205</b>	Day of Delivery <input checked="" type="checkbox"/> First <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In <b>102301</b>	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage <b>\$ 1245</b>
Time In <b>3:40 PM</b>	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight <b>3.9 lbs.</b>	Int'l Alpha Country Code	COD Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <b>af</b>	Insurance Fee
Total Postage & Fees <b>\$ 1245</b>		

☐ WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday ☐ Customer Signature

## CUSTOMER USE ONLY

### METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

Federal Agency Acct. No. or  
Postal Service Acct. No.

### FROM: (PLEASE PRINT)

PHONE ( )

EDWARDS & ANGELL LLP  
USPC, IP PRACTICE GROUP  
PO BOX 9169  
BOSTON

PFC

MA 02209-9169

71987156597

### TO: (PLEASE PRINT)

PHONE ( )

ASSISTANT  
COMMISSIONER FOR PATENTS  
US PATENT & TRADEMARK OFFICE  
WASHINGTON DC 20231-0001

Box Patent Application

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.com



17C

Mailing Date **October 23, 2001** Attorney/Sec **PFC:smd**  
Client **71987** Docket No **56597**  
☒ Utility ☐ Design ☐ Provisional

The dating stamp of the Patent and Trademark Office hereon will be taken as the date of filing of  
APPLICANT(S) **Hung-Lian CHIU, Win-Chee YU, Ming-Hsiao HSIEH**

TITLE **METHOD AND SYSTEM FOR READING AUTHORIZED DATA**

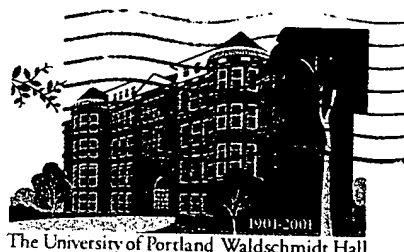
No of Pages Spec. 9 Claims 3 Abstract 1  
Drawings 3 sheets ☒ formal ☐ informal  
Transmittal Papers 11 pages ☐ Preliminary Amendment  
☒ Declaration ☒ executed ☐ unexecuted ☐ Copy ☐ Will Follow  
☐ Small Entity Form ☐ Copy ☐ Will Follow ☐ Certified Copy ☐ Will Follow  
☒ Assignment ☐ Copy ☐ Will Follow ☒ Cover Sheet  
☐ Information Disclosure Statement ☐ PTO-1449 Form ☐ Refs \_\_\_\_\_  
Other \_\_\_\_\_  
\$ 780.00 fee Check ☒ is ☐ is not enclosed  
Due Date 10/25/01 Express Mail Label No EL895419809US

IDS  
Edwards & Angell LLP  
Dike, Bronstein, Roberts & Cushman  
101 Federal St. Boston, MA 02110  
Date Rec'd 12/14/01  
Docketed For 12362  
By aie  
Approved \_\_\_\_\_

Filing Receipt  
Edwards & Angell LLP  
Dike, Bronstein, Roberts & Cushman  
101 Federal St. Boston, MA 02110  
Date Rec'd 4/23/02  
Docketed For 1214/01  
By aie  
Approved \_\_\_\_\_

1st Office Action  
Edwards & Angell LLP  
Dike, Bronstein, Roberts & Cushman  
101 Federal St. Boston, MA 02110  
Date Rec'd 12/14/01  
Docketed For 1023/02  
By aie  
Approved \_\_\_\_\_

J1002 U.S. PTO  
10/002840  
11/23/01



**EDWARDS & ANGELL, LLP**

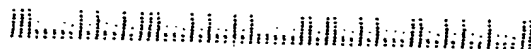
DIKE, BRONSTEIN, ROBERTS & CUSHMAN  
*Intellectual Property Practice Group*  
P.O. Box 9169  
BOSTON, MA 02209

**RECEIVED**

DEC 14 2001

EDWARDS & ANGELL LLP  
DIKE BRONSTEIN  
ROBERTS CUSHMAN

0220940169





Practitioner's Docket No. 56597 (71987)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Hung-Liang CHIU, Win-Chee YU, Ming-Hsiao HSIEH

WARNING: 37 CFR 1.41(a)(1) points out:

*"(a) A patent is applied for in the name or names of the actual inventor or inventors.*

*(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by § 1.63, except as provided for in § 1.53(d)(4) and § 1.63(d). If an oath or declaration as prescribed by § 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to § 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in § 1.17(i) is filed supplying or changing the name or names of the inventor or inventors."*

For (title): METHOD AND SYSTEM FOR READING AUTHORIZED DATA

CERTIFICATION UNDER 37 C.F.R. 1.10\*

*(Express Mail label number is mandatory.)*

*(Express Mail certification is optional.)*

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date October 23, 2001, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL895419809US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Susan M. Dillon

*(type or print name of person mailing paper)*

Susan M Dillon

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442